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PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Kavkin et al.

Application No. 10/816,432

Filed: March 31, 2004

For: SUBSTRATE BRUSH SCRUBBING AND  
PROXIMITY CLEANING-DRYING  
SEQUENCE USING COMPATIBLE  
CHEMISTRIES, AND METHOD,  
APPARATUS, AND SYSTEM FOR  
IMPLEMENTING THE SAME

Examiner: Unassigned

Art Unit: Unassigned

Docket No. LAM2P463

Date: July 1, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 1, 2004

Signed: \_\_\_\_\_

Courtney R. Yadegar

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.56 AND 1.97(e)

Mail Stop: DD  
Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action. Accordingly, it is believed that no fees are due in connection with the filing of this IDS. However, if it is determined that any fees are due for filing the present Information Disclosure Statement, then the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0805 (Order No: LAM2P463). A copy of this sheet is enclosed.

Respectfully submitted,  
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Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet		of		Attorney Docket Number	LAM2P463
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**Complete if Known**

Application Number	10/816,432
Filing Date	March 31, 2004
First Named Inventor	Mike Ravkin
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	LAM2P463

**U.S. Patent Documents**

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A	5,660,642	08/26/1997	Britten	1-5, 7-20 and 6
	B	5,882,433	03/16/1999	Ueno	
	C	US 2002/0125212 A1	09/12/2002	Mertens et al.	6, 7
	D	US2002/0121290 A1	09/05/2002	Tang et al.	1-20
	E				
	F				

**Foreign Patent Documents**

Examiner Initial	Cite No. <sup>1</sup>	Foreign Patent Document No.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number- <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	G	EP 0 905 746 A1	31/03/1999	Interuniversitair	1-20	<input type="checkbox"/>
	H	EP 0 905 747 A1	31/03/1999	Interuniversitair		<input type="checkbox"/>
	I	WO 99/16109	01/04/1999	Mertens et al.	1-20	<input type="checkbox"/>
	J	WO 02/101795 A2	19/12/2002	Verteq, Inc.	1-20	<input type="checkbox"/>
	K	WO 02/101795 A3	19/12/2002	Verteq, Inc.		<input type="checkbox"/>
	L	WO 02/32825 A1	25/04/2002	Regents of the University of California		<input type="checkbox"/>

**Non Patent Literature Documents**

Examiner Initial	Cite No.	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	M	Britten, "A moving-zone Marangoni drying process for critical cleaning and wet processing", pp. 143-148, Oct. 1997, Solid State Technology
	N	
	O	
	P	

Examiner Signature		Date Considered	
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Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.